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(54) Title: SPERM CELL PROCESS SYSTEM

(57) Abstract: A semen or sperm cell process system to maintain or enhance the biological, chemical, physical, physiological, or functional attributes of sperm cells within the context of various collecting, handling, storage, transportation, separation, or insemination procedures.



WO 2004/009237 A2

## I. TECHNICAL FIELD

5 A semen or sperm cell process system to maintain or enhance the biological, chemical, physical, physiological, or functional attributes of sperm cells within the context of various collecting, handling, storage, transportation, separation, or insemination procedures.

## 10 II. BACKGROUND

Effective preselection of sex has been accomplished in many species of livestock following the development of safe and reliable methods of separating sperm cells into enriched X chromosome bearing and Y chromosome bearing populations. Separation of X chromosome bearing sperm cells from Y chromosome bearing sperm cells can be  
15 accomplished as disclosed herein and as disclosed by various international patent applications, for example: PCT/US99/17165; PCT/US98/27909; PCT/US01/45237; PCT/01/18879; PCT/US01/15150; and PCT/US01/02304 and United States patent applications 09/582,809; and 09/015,454, each hereby incorporated by reference. These examples of separating X chromosome bearing sperm cells from Y chromosome bearing  
20 sperm cells are not meant to limit the instant sperm cell process system invention to sperm processing technology with flow cytometry sorting devices or flow-sorting methods but rather are meant to be illustrative of various processes by which sperm cells may be separated from one another and to be illustrative of the manner in which sperm cells are collected, handled, separated, transported, used, or stored as a context in which the instant  
25 invention can be understood.

A significant problem with sperm cells that have been separated into subpopulations based upon a specific sperm cell characteristic can be lower motility, lower viability or lower fertility. One aspect of this problem may be that conventional procedures of handling and transporting semen prior to separation of sperm cells into subpopulations is  
30 typically carried out at about 5°C, or at a temperature at which sperm cell membrane lipids have transitioned from a liquid phase to a gel phase. The transition of sperm cell membranes lipids from the liquid phase to the gel phase can alter the chemical, physical, physiological, or functional attributes of sperm cells which can result in lower fertility of such sperm cells or can result in sperm cell death. Another aspect of this problem can be

that the temperature at which sperm cell membrane lipids transition from the liquid phase to the gel phase can vary depending on the species of mammal from which the semen is obtained. As such, the temperature at which sperm cells for a particular species of mammal must be maintained to prevent transition of sperm cell membrane lipids from the liquid phase to the gel phase must be determined in advance of subsequent collection, handling, transportation and separation procedures. Another aspect of this problem is the conventional understanding that collected semen should be reduced in temperature to maintain sperm cell viability and fertility during subsequent handling, transportation, or separation procedures which teaches away from the present invention of incubating or maintaining the temperature of collected semen at a temperature which is substantially higher than existing conventional practice.

Another significant problem with conventional procedures for separation of sperm cells into subpopulations can that stain concentration to which sperm cells are exposed may be too high for sperm cells obtained from certain species of mammals. For example, equine sperm cells exposed to concentration of Hoechst 33342 stain conventionally used to stain bovine sperm cells may exhibit substantial loss of fertility.

Another significant problem with conventional procedures for separation of sperm cells into subpopulations can be the duration of time sperm cells are incubated in stain, such as Hoechst 33342, may be too long for certain species of mammals. For example, equine sperm cells incubated in Hoechst 33342 for one hour may exhibit substantial loss of motility or fertility.

Yet another significant problem with conventional procedures for handling semen can be extension of the semen with compositions in which sperm cells exhibit substantial loss of motility or fertility.

Even though the various devices and methods of separating sperm cells have improved significant problems remain with respect to maintaining sperm viability during collection, handling, transportation, separation, use, or storage processes.

### III. DISCLOSURE OF INVENTION

Accordingly, the broad object of the invention can be to provide devices or methods for the collection, handling, shipment, storage, or separation of semen or sperm cells to maintain sperm viability.

Another broad object of the invention can be to provide devices or methods for collecting, handling, shipment, storing, or separating semen or sperm cells obtained from various species of mammals, including, but not limited to equids, bovids, felids, ovids, 5 canids, buffalo, oxen, elk, or swine; or obtained from prize, endangered, or rare individuals of a mammal species; or obtained from zoological specimens to maintain or enhance sperm viability.

Another significant object of the invention can be to provide devices or methods 10 for handling and transporting sperm cells obtained from equine mammals.

Another significant object of the invention can be to provide devices or methods of separating sperm cells that can maintain greater viability of mamalian sperm cells throughout a flow-sorting process.

15 Another significant object of the invention can be to provide devices or methods of maintaining sperm cells at greater viability for purposes of artificial insemination of various species of mammals, such as those described above, or even artificial insemination with a low or reduced number of sperm cells compared to the usual number or typical 20 number of sperm cells used in such artificial insemination procedures whether or not such sperm cells are separated into enriched X chromosome bearing or Y chromosome bearing sperm cells.

Another significant object of the invention can be to provide methods in which sperm 25 cells are incubated at temperatures above which sperm cell membrane lipids transition from a liquid phase to a gel phase.

Another significant object of the invention can be to provide devices or methods for the shipment of stallion sperm prior to separation of flow-sorting of sperm cells.

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#### IV. BRIEF DESCRIPTION OF DRAWINGS

Figure 1 provides a graph showing that as stain concentration increases the total and progressive motility of sperm cells decreases, % dead sperm cells increases, and the

ability of flow cytometry techniques to resolve X-chromosome bearing sperm cells from Y-chromosome sperm cells increases.

5 Figure 2 provides a graph showing that sperm cells extended in KMT remain more motile with respect to both fresh sperm samples and sperm samples stored for a duration of time at room temperature, such as 18 hours at room temperature

Figure 3 provides a graph showing that staining sperm cells at higher pH can decrease the % dead sperm cells in stained sperm cells samples and increase resolution as evaluated by flow cytometry analysis.

10 Figure 4 provides a graph showing that decreasing the stain incubation period from a conventional period of 60 minutes to about a 30 minute incubation period can increase motility, decrease % dead sperm cells in stained sperm cell samples, and increase resolution of X-chromosome bearing sperm cells from Y-chromosome bearing sperm cells during flow sorting of stained sperm cells.

15 Figure 5 provides a graph showing that the addition of a stimulant, such as caffeine, can increase motility in sperm cells.

Figure 6 provides a graph showing that total motility and progressive motility of sperm cells with respect to using modified KMT prepared using  $\text{NaH}_2\text{PO}_4$ .

20 Figure 7 provides a graph showing that total motility and progressive motility of sperm cells can be increased using modified KMT prepared using  $\text{NaH}_2\text{PO}_4$  whether or not the sperm cells are exposed to stimulant, such as caffeine.

Figure 8 provides a graph showing that temperature can be adjusted for storing, handling, transferring, or transportation of sperm cells obtained from a male of a species of mammal to increase total and progressive motility.

25

Figure 9 provides a graph showing that the temperature at which sperm cells are transferred, stored, or handled prior to a staining protocol can be adjusted to increase total or progressive motility of sperm cells, or stimulated sperm cells, or sperm cells stimulated with caffeine.

30 Figure 10 provides a graph showing that temperature at which sperm cells are transferred, stored, or handled prior to a staining protocol can be adjusted to increase total

or progressive motility of sperm cells, or stimulated sperm cells, or sperm cells stimulated with caffeine subsequent to a staining protocol.

Figure 11 provides a graph showing that % dead sperm cells in stained sperm cell samples can be reduced by storing or transporting sperm cells at 15°C.

5        Figure 12 provides a graph showing that sperm cells can remain more viable when sperm cell concentration during staining is at about 100 M/mL versus 200M/mL without loss of flow cytometry resolution.

10        Figure 13 provides a graph showing that as stain concentration increases fewer sperm cells survive and resolution increases.

Figure 14 provides a graph showing that stain time can be substantially decreased without loss of resolution between X-chromosome bearing populations and Y-chromosome bearing populations of sperm cells evaluated by flow cytometry.

15    V.    MODE(S) FOR CARRYING OUT THE INVENTION

A semen or sperm cell process system to maintain or enhance the biological, chemical, physical, physiological, or functional attributes of sperm cells within the context of various collecting, handling, storage, transportation, separation, or insemination  
20    procedures.

EXAMPLE 1.

Semen was collected from three stallions of acceptable fertility, extended to about  
25     $25 \times 10^6$  sperm/mL in a Tyrode's-based skim milk-glucose extender, and stored for 18 h at either about 5°C or about 15°C. Following storage, spermatozoa were centrifuged to remove seminal plasma and concentrate sperm, stained with Hoechst 33342 (Hoechst), and sorted into enriched X-chromosome bearing and Y-chromosome-bearing populations based on DNA content using an SX MoFlo® sperm sorter.

30

A final dose of about  $20 \times 10^6$  flow-sorted sperm in a volume of 300  $\mu$ L was used for all inseminations. Estrus was synchronized in 35 mares ages 2 to 12. Human chorionic gonadotropin (hCG; 3000 IU, iv; Chorulon®, Intervet, Millsboro, DE, USA)

was administered when a dominant follicle  $\geq 35$  mm in diameter was present and mares were inseminated at approximately 30 h post-hCG. At the time of insemination, mares were assigned to 1 of 3 treatment groups: 1) sperm that had been stored at 15°C and inseminated using the videoendoscopic technique; 2) sperm stored at 5°C and also  
 5 inseminated using the videoendoscopic method; and 3) sperm stored at 5°C and inseminated using the rectally guided technique.

Mares were sedated immediately prior to insemination using butorphanol (4 mg, iv; Torbugesic®, Ft. Dodge Co., Fort Dodge, IA, USA) and detomidine (6 mg, iv;  
 10 Dormosedan®, Pfizer, Lees Summit, MO, USA). Mares were evaluated daily for ovulation, and only those mares ovulating within 48 h after insemination were included in the results. Pregnancy was determined ultrasonographically at 12 to 14 days post-ovulation. Mares were administered prostaglandin F<sub>2</sub> $\alpha$  at day 16 post-ovulation for use in  
 2 subsequent cycles.

15

Pregnancy rates between mares inseminated hysteroscopically with sorted sperm stored at 15°C was about 72% or at 5°C was about 55% as shown by Table 1. There was a tendency ( $P=0.12$ ) for fewer mares to become pregnant following rectally guided  
 20 insemination (38%) compared to hysteroscopic insemination (55%) when stored, sorted sperm were inseminated.

Table 1. Pregnancy rates from hysteroscopic or rectally guided insemination of flow-sorted sperm stored at 5°C or 15°C.

Storage temp. (°C)	Insemination method	Mares inseminated	Mares pregnant (14 d)	Pregnancy rate
15	Hysteroscopic	25	18	72% <sup>a</sup>
5	Hysteroscopic	22	12	55% <sup>a,b</sup>
5	Rectally guided	24	9	38% <sup>b</sup>

25 <sup>a,b</sup> Values in the same column with different superscripts are significantly different ( $P<0.05$ ).

A greater number of mares became pregnant following insemination with sorted  
 30 sperm stored prior to sorting at 15°C as compared to 5°C. This effect was consistent across stallions as was fertility after storage at 15°C for 18 h prior to sorting, as compared to 5°C.

The expected pregnancy rate following insemination of  $1 \times 10^9$  stallion sperm shipped by standard methods (5°C, 12 to 72 h) is 65%. The pregnancy rate obtained in the present study (72%) is impressive, and shows dramatic improvement over that obtained (35%) with 18 h stored, flow-sorted sperm using conventional technology. This increase  
5 in fertility may reflect sperm processing prior to flow cytometry. Pregnancy rates in the present study would have been even higher except that for two days during this application of the invention pregnancy rates for all mares inseminated were extremely low.

Hysteroscopic insemination resulted in higher pregnancy rates than deep-uterine  
10 insemination. This is in contrast to Rigby et al. who reported similar pregnancy rates between videoendoscopic and rectally guided insemination when shipped sperm were used. However, those results did show a 12-percentage point advantage for hysteroscopic insemination. In contrast to that study, the present trial utilized flow-sorted sperm, which are known to be in a pre-capacitated state. In summary, excellent pregnancy rates were  
15 obtained with hysteroscopic insemination of 18 h stored, flow-sorted spermatozoa.

Pregnancy rates were higher for all three stallions when sperm was maintained at about 15°C as compared to 5°C. Hysteroscopic insemination with sperm stored at 15°C resulted in higher pregnancy rates than rectally guided insemination of sperm stored at  
20 5°C.

As such, the sperm cell process system invention can involve obtaining sperm cells from a male of a species of mammal, maintaining the sperm cells obtained from the male species of mammal prior to artificial insemination of a female of the species of mammal at  
25 a temperature(s) selected within the range of between 5°C and 25°C that generates a higher pregnancy rate of such females of such species of mammal. With respect to equine species, and particularly with respect to the species of equids disclosed, the temperature at which sperm cells obtained from equine males are maintained in accordance with the invention to increase pregnancy rate can be between about 10°C to about 20°C, and can  
30 specifically be about 15°C. See Examples below for application involving equids. See also, Example 8 below showing an application of the invention in which sperm cells obtained from a male of a species of elk are maintained at about 20°C prior to insemination of cow elk.



The sperm cell process system invention can further include transportation of the sperm cells obtained from the male of a species of mammal maintained at temperature(s) in accordance with the invention. Such transportation may have a limited duration of less than an hour or may have a more extended duration between about 1 hour and about 72  
5 hours, or as described may be a duration of about 18 hours.

The invention can further include the step of staining the sperm cells obtained from a male of a species of mammal as above-described which have been maintained at a temperature that generates the highest pregnancy rate of such females of such species of  
10 mammal.

The invention can further include hysteroscopic or rectally guided artificial insemination of the female of the species of mammal. Specifically, as described by the incorporation by reference included herein, hysteroscopic insemination of equine  
15 mammals with sperm cells sorted for sex preselection that may be handled in accordance with the instant invention and may further include a low number of sperm cells compared to the number of sperm cells typically used to inseminate a female of a particular species of mammal, including but not limited to equine mammals.

## 20 EXAMPLE 2.

Semen from eight stallions was extended to about  $25 \times 10^6$  sperm/ml in each of four shipping extenders as set out in Table 2. During simulated shipping for 18 h, samples were held at ambient temperature (20-24°C), except those extended in INRA96, which  
25 were stored at 15°C. Following storage, samples were centrifuged at  $600 \times g$  for 10 min and pellets extended to  $400 \times 10^6$  sperm/ml. After incubation at 19-24°C for 1 h and dilution to  $200 \times 10^6$  sperm/ml, sperm were stained at 34°C with 224  $\mu$ M Hoechst 33342 for 1 h, and then diluted to  $100 \times 10^6$  sperm/ml in KMT. To simulate sorting conditions, sperm were diluted to 700,000 sperm/ml in HBGM-3 without BSA and held at ambient  
30 temperature for 1.5 h prior to centrifugation at  $850 \times g$  for 20 min. Motility was evaluated at four chemical environments as shown by Table 2.

Table 2. Percentage of motile sperm in samples stored in four different shipping media

Media	Post-ship	Pre-Stain	Post-Stain	Post-Dil.
EZ Mixin CST; Anim Reprod Systems, Chino, CA	59	48 <sup>a,b</sup>	31 <sup>b</sup>	47 <sup>a</sup>
Next Generation; Exodus Breeders, York, PA	54	40 <sup>b</sup>	27 <sup>b</sup>	36 <sup>b</sup>
KMT; J Anim Sci 1991;69:3308-3313	64	58 <sup>a</sup>	46 <sup>a</sup>	50 <sup>a</sup>
INRA96; IMV Technologies, France	63	53 <sup>a</sup>	43 <sup>a</sup>	54 <sup>a</sup>

<sup>a,b</sup> Values in the same column without common superscripts differ ( $P < 0.05$ ), Tukey's Test.

As can be understood from the data set out by Table 2, KMT and INRA96 maintained higher motility throughout certain sperm cell process procedures.

The sperm cell process system invention can further include the step of extending sperm cells obtained from a male of a species of mammal in KMT, and specifically with respect to sperm cells obtained from the male of an equine species of mammal KMT can significantly increase sperm cell motility.

The sperm cell process system invention can further include the step of extending sperm cells obtained from a male of a species of mammal in INRA96, and specifically with respect to sperm cells obtained from the male of an equine species of mammal INRA96 can significantly increase sperm cell motility.

### EXAMPLE 3.

Ejaculates from 8 stallions were extended to  $25 \times 10^6$  sperm/ml in KMT, and 40-ml aliquots were placed at 5, 10, 15, 20, and 25°C for 18 h. Samples were then processed similarly to methods of Example 2. Motility was evaluated both with and without 2 mM caffeine as a stimulant. Flow-cytometric evaluation of % dead was done using propidium iodide staining.

Table 3. Percentage of motile sperm after 18 h at varying temperatures (°C) (non-stim./stim.).

Temperature	Post-Shipping	Pre-Stain	Post-Stain	Post-High Dilution	% Dead
5	63 <sup>ab</sup> /63 <sup>ab</sup>	56 <sup>a</sup> /56	49 <sup>a</sup> /49 <sup>a</sup>	45 <sup>a</sup> /50 <sup>ab</sup>	28 <sup>ab</sup>
10	62 <sup>ab</sup> /63 <sup>a</sup>	58 <sup>a</sup> /56	48 <sup>ab</sup> /52 <sup>a</sup>	45 <sup>a</sup> /50 <sup>ab</sup>	26 <sup>ab</sup>
15	65 <sup>a</sup> /64 <sup>a</sup>	56 <sup>a</sup> /54	45 <sup>ab</sup> /51 <sup>a</sup>	45 <sup>a</sup> /51 <sup>a</sup>	23 <sup>a</sup>
20	59 <sup>bc</sup> /62 <sup>ab</sup>	54 <sup>ab</sup> /56	42 <sup>b</sup> /48 <sup>ab</sup>	41 <sup>a</sup> /47 <sup>ab</sup>	23 <sup>a</sup>
25	56 <sup>c</sup> /59 <sup>b</sup>	49 <sup>b</sup> /53	35 <sup>c</sup> /43 <sup>b</sup>	35 <sup>b</sup> /44 <sup>b</sup>	31 <sup>b</sup>

<sup>a,b,c</sup> Values in the same column without common superscripts differ ( $P < 0.05$ ).

As shown by Table 3, using KMT can reduce motility difference with respect to storage or transportation temperatures of 5, 10, or 15°C storage temperatures.

As such, the sperm cell process system invention can further include the step of  
5 diluting or maintaining such sperm cells obtained from the male of the species of mammal  
in such concentration(s) of KMT prior to staining such sperm cells with Hoechst stain and  
prior to flow sorting of such sperm cells

#### EXAMPLE 4.

10

Sperm cells in ejaculates from three stallions were initially evaluated for volume, concentration, and motility. The remaining portion of the ejaculates were extended with either KMT or EZ mixin with either 0% additional seminal plasma or 10% seminal plasma by concentration to the following sperm cell/stain concentrations: 50 x10<sup>6</sup> sperm/mL,  
15 2.6µl Hoechst; 50 x10<sup>6</sup> sperm/mL, 3.9µl Hoechst; 150 x10<sup>6</sup> sperm/mL, 7.8µl Hoechst; or 450 x10<sup>6</sup> sperm/mL, 23.4 µl Hoechst and processed either immediately or after 18 hours storage at room temperature. Stained sperm cell samples were then evaluated for resolution and % dead by flow cytometry analysis, and motility was evaluated by further diluting 20 µl of each stained sperm cell sample with 140 µl EZ Mixin or KMT.

20

Now referring primarily to Figure 1, it can be understood that as stain concentration increases the total and progressive motility of sperm cells, and in particular equine sperm cells, decreases, % dead sperm cells increases, and the ability of flow cytometry techniques to resolve X-chromosome bearing sperm cells from Y-chromosome  
25 sperm cells increases.

The sperm cell process system invention can comprise a range of stain concentration(s) that provides enhanced total or progressive motility of stained sperm cells, resolution of X-chromosome bearing sperm cell from Y-chromosome bearing sperm  
30 cells during flow-sorting; or decrease in the % dead sperm cells, compared to the range of stain concentration used in conventional technology with respect to sperm cells obtained from a particular species, or other ranges of stain concentrations as disclosed. Specifically for equine applications of the invention, the range of stain concentration that can provide enhanced total or progressive, enhanced resolution of X-chromosome bearing sperm cell  
35 from Y-chromosome bearing sperm cells during flow-sorting; or a reduction of the % dead sperm cells in stained sperm cell samples can be between about 50 x10<sup>6</sup> sperm/mL, 2.6µl

Hoechst;  $50 \times 10^6$  sperm/mL, 3.9  $\mu$ l Hoechst. While results are less favorable the range of stain concentration can be between  $150 \times 10^6$  sperm/mL, 7.8  $\mu$ l Hoechst to about  $450 \times 10^6$  sperm/mL, 23.4  $\mu$ l Hoechst.

5        Now referring primarily to Figure 2, it can be understood that sperm cells extended in KMT remain more motile with respect to both fresh sperm samples and sperm samples stored for a duration of time at room temperature, such as 18 hours at room temperature.

10        The invention can further include use of KMT as an extender to increase total or progressive motility of fresh sperm cells, of sperm cells stored for a duration of time, for example up to 18 hours or longer, or of sperm cells that are transferred or transported from a first location, such as the location at which the sperm cells are obtained from a male mammal, to a second or a plurality of locations where further processing of sperm cells obtained from the male mammal occurs, such as sperm cell counting, separation of X-  
15        chromosome bearing sperm cells from Y-chromosome bearing sperm cells, or preparation of sperm cell containing products including but not limited to the manufacture of straws of sperm for artificial insemination (whether sorted or not), or a second or plurality of locations where insemination of a female species of the mammal occurs, oocytes are fertilized in-vitro, or the like.

20

#### EXAMPLE 5.

Sperm cells in ejaculates from three stallions were initially evaluated for volume, concentration, and motility. The remaining portion of each ejaculate was extended in  
25        KMT to  $25 \times 10^6$  sperm/mL and stored at RT for 18 hr. The stored ejaculates were pelleted by centrifugation at 600g for 10 min. Pelleted sperm cells were resuspended in KMT with Hoechst to generate sperm samples of  $400 \times 10^6$  sperm/mL, 12.4  $\mu$ l Hoechst, adjusted to either 7.1 pH or 7.9 pH, and then incubated at 34°C for either 30min or 60min. Stained sperm cell samples were extended with either 1mL KMT with 1.5  $\mu$ l/mL 5% red food dye;  
30        1mL KMT with 2.0  $\mu$ l/mL 5% red food dye; 1mL KMT with 2.5  $\mu$ l/mL 5% red food dye; or 1mL KMT with 3.0  $\mu$ l/mL 2% red food dye. Sperm cell samples were then evaluated for % dead and resolution by flow cytometry analysis, and motility was evaluated by further diluting treated samples in either 140  $\mu$ l KMT: 20  $\mu$ l sperm cells; 140  $\mu$ l KMT 2mM caffeine: 20  $\mu$ l sperm cells; 140  $\mu$ l KMT, 2.5 mM NaPyruvate: 20  $\mu$ l sperm cells.

35

Table 4. Effect of Stallion

	Gunsmoke	Rowdy	Sylekt
% Dead	15.5	16	17.13
Resolution	5.81	4.5	5.88

	Gunsmoke	Rowdy	Sylekt
Motility 0h	55	68.44	59.69
Progressive 0h	43.13	68.44	56.25
Motility 3h-None	63.13	65.94	67.19
Motility 3h-Caffeine	66.25	67.5	69.38
Motility 3h-Pyruvate	62.19	67.19	65.94
Progressive 3h-None	55.94	65.94	63.75
Progressive 3h-Caffeine	63.13	67.5	69.06
Progressive 3h-Pyruvate	56.56	67.19	63.13

Table 5. Effect of Stain pH

	7.1	7.9
% Dead	17.25	15.17
Resolution	5.58	5.21

	7.1	7.9
Motility 0h	62.08	60
Progressive 0h	55.83	56.04
Motility 3h-None	66.25	64.58
Motility 3h-Caffeine	68.54	66.88
Motility 3h-Pyruvate	65.83	64.38
Progressive 3h-None	62.29	61.46
Progressive 3h-Caffeine	67.5	65.63
Progressive 3h-Pyruvate	63.13	61.46

Table 6. Effect of Food Coloring

	1.5	2	2.5	3
% Dead	16	16.33	16.17	16.33
Resolution	5.33	5.25	5.5	5.5

	1.5	2	2.5	3
Motility 0h	60.42	62.08	62.5	59.17
Progressive 0h	55.83	56.67	57.08	54.17
Motility 3h-None	64.58	65.42	66.25	65.42
Motility 3h-Caffeine	66.67	68.33	68.75	67.08
Motility 3h-Pyruvate	64.58	65	65.83	65
Progressive 3h-None	61.67	61.67	62.92	61.25
Progressive 3h-Caffeine	65.42	67.5	67.92	65.42
Progressive 3h-Pyruvate	62.08	60.83	64.58	61.67

Table 7. Effect of Stain Time (12 Samples only)

	30 min	60 min
% Dead	14.83	16.17
Resolution	4.92	5.5

	30 min	60 min
Motility 0h	66.25	62.5
Progressive 0h	62.08	57.08
Motility 3h-None	65.83	66.25
Motility 3h-Caffeine	66.67	68.75
Motility 3h-Pyruvate	64.58	65.83
Progressive 3h-None	62.08	62.92
Progressive 3h-Caffeine	65	67.92
Progressive 3h-Pyruvate	60.42	64.58

Table 8. pH and Stain Time

	7.1		7.9	
	30 min	60 min	30 min	60 min
% Dead	15.67	17	14	15.33
Resolution	4.83	5.83	5	5.17

	7.1		7.9	
	30 min	60 min	30 min	60 min
Motility 0h	65.83	62.5	66.67	62.5
Progressive 0h	61.67	55.83	62.5	58.33
Motility 3h-None	65.83	68.33	65.83	64.17
Motility 3h-Caffeine	66.67	70	66.67	67.5
Motility 3h-Pyruvate	64.17	67.5	65	64.17
Progressive 3h-None	63.33	64.17	60.83	61.67
Progressive 3h-Caffeine	65	70	65	65.83
Progressive 3h-Pyruvate	60.83	66.67	60	62.5

Table 9. Motility Stimulants

	None	Caffeine	Pyruvate
Motility 3h	65.42	67.71	65.1
Progressive 3h	61.88	66.56	62.29

- 5            Now referring primarily to Figure 3, it can be understood that staining sperm cells at higher pH can decrease the percent dead sperm cells as evaluated by flow cytometry analysis.

- 10           Now referring primarily to Figure 4, it can be understood that decreasing the incubation period to stain sperm cells from the conventional period of 60 minutes to a 30 minute period can decrease percent dead sperm cells, and increase resolution of X-chromosome bearing sperm cells from Y-chromosome bearing sperm cells during flow cytometry.

Now referring primarily to Figure 5 it can be understood that the addition of a stimulant such as caffeine at a concentration of about 2mM can stimulate motility in sperm cells and can be particularly effective in stimulating stressed equine sperm cells.

5 As such, the invention can further include the step of adjusting the pH of the solution in which sperm cells obtained from the male of a species of mammal are stained with a flouorochrome, such as Hoechst. The pH of the stain solution can be raised to a pH between about 7.2 pH to about 8.0 pH to select the pH desired for a particular sperm cell sample or the one that generates a reduced or least % dead in a particular type of stained  
10 sperm cell sample. Specifically, with respect to sperm cells obtained from equine males the pH of the stain solution can be raised to between about 7.5 pH to about 8.0 pH and specifically can be 7.9 pH to reduce the % dead equine sperm cells in stained sperm cell samples.

15 The invention can further include the step of reducing the period of time in which sperm cells are incubated in the stain solution to reduce the % dead in stained sperm samples or to increase motility, or increase resolution of X-chromomsome bearing stained sperm from Y-chromosome bearing stained sperm when flow sorted or otherwise separated based on DNA content. Depending on the application in which the invention is  
20 employed, the period of time in which sperm cells are exposed to, incubated in, or are otherwise suspended in stain solution can be substantially reduced. The reduction in time can be 10%, 20%, 30%, 40%, 50%, or more from the amount of time typically used; or can be a reduction in time that reduces the number of dead sperm cells resulting from staining with a fluorochrome without a significant reduction in resolution during flow  
25 sorting; or can be a reduction in time that results in increased resolution during flow sorting without a significant increase in the % dead sperm cells in the stained sample. The amount of time that an equine sperm cell sample, for example, incubates in a staining solution of Hoechst ( as described above) can be between about 25minutes to about 50 minutes to obtain greater flow sorting resolution or reduced % dead sperm cells, and can  
30 specifically be 30 minutes. The actual reduction in time can be determined to provide a desired balance between motility, % dead sperm cells, and flow sort resolution within a population of sperm cells proximate to the time of stained.

The invention can further include the step of adding a stimulant to the sperm cell  
35 sample. The stimulant can be caffeine, or a stimulant similar to caffeine, or a stimulant

that increases sperm cell motility or other sperm cell function or characteristic, whether mechanical or physiological. The stimulant can be added prior to or after the sperm cell is exposed to a process step such as storage, transportation, dilution, flow sorting, insemination, or the like. Specifically, a concentration of between about 1mM and about 5mM caffeine can be used and specifically with respect to equine sperm cells a 2mM concentration of caffeine can be used.

#### EXAMPLE 6.

Ejaculates from ten stallions were initially evaluated for volume and sperm cell concentration and motility. The remaining portion of each ejaculate was extended in either KMT prepared using  $\text{Na}_2\text{H}_2\text{PO}_4$  KMT or KMT prepared using  $\text{NaH}_2\text{PO}_4$  (KMT-mod.) to  $25 \times 10^6$  sperm/mL and stored at 5°C, 10°C, 15°C, 20°C, 25°C for 18 hr. A 100  $\mu\text{L}$  aliquot of treated sperm cells were then diluted with 100  $\mu\text{L}$  KMT or 100  $\mu\text{L}$  KMT, 4mM caffeine and motility of the sperm cells was evaluated. The remaining portion of the treated sperm cells in each sample were centrifuged at 600g for 10 min. the supernatant aspirated to about 0.75 mL and the pelleted sperm cells resuspended in that volume. Post centrifugation motility of treated sperm cells was evaluated after dilution of 20  $\mu\text{L}$  of each sample with either 520  $\mu\text{L}$  KMT or 520  $\mu\text{L}$  KMT, 2mM caffeine.

20

Aliquots of  $200 \times 10^6$  sperm/mL, 12.4  $\mu\text{L}$  Hoechst of each treatment group adjusted to 7.1 pH were incubated at 34°C for 60min. Stained sperm cell samples were extended with either 1mL KMT with 1.5  $\mu\text{L/mL}$  5% red food dye. High dilution samples were then prepared by addition of 3mL KMT or 3mL KMT-mod. and 22mL 5mMHBGM-3 into 175  $\mu\text{L}$  stained sperm (at  $100 \times 10^6$  sperm/mL).

25

Treated sperm cell samples were then evaluated for % dead and resolution by flow cytometry analysis, and motility was evaluated by further diluting treating samples in either 140  $\mu\text{L}$  KMT: 20  $\mu\text{L}$  sperm cells; or 140  $\mu\text{L}$  KMT 2mM caffeine: 20  $\mu\text{L}$  sperm cells.

30



Table 10. Effect of Stallion

	Pship	Pcent	Pstain	Hdil	%dead	resolution
A	63.3	52.5	44.5	47.5	22.8	5.7
B	54.5	50.8	32.3	31.8	41.1	8.2
C	68.8	62.8	55.6	44.1	21.4	6.2
D	64.7	58.4	46.6	48.4	26.1	7.3
E	65.3	57.8	48.8	45.5	21.6	5.2
G	58.8	58	36.8	37	30.7	7
H	58	51.5	48.5	46.5	25.2	8
J	58	50	41.8	41.8	17.9	6.8

Table 11. Effect of Extender

	Pship	Pcent	Pstain	Hdil	%Dead	Resolution
KMT	60.7	52.8	42.8	40.9	26.6	6.8
KMT Mod	61.6	57.1	45.2	44.4	25.5	6.8

5

Table 12. Effect of Shipping Temperature

	Pship	Pcent	Pstain	Hdil	%Dead	Resolution
5	62.5	56.1	48.8	45	27.9	6.9
10	62	58	47.5	44.7	26.4	6.8
15	64.7	56.3	44.5	44.8	23.1	6.7
20	59.2	53.6	42.2	41.4	23.3	6.6
25	55.8	49.4	34.6	35.4	31.4	7.2

Table 13. Post-Ship Motility

	Total	Prog.	Stim.	T Stim.,Prog
5	62.5	59.2	62.5	61.4
10	62	58.9	63.3	61.9
15	64.7	62.7	64.2	62.7
20	59.2	59.1	62.3	61.1
25	55.8	55	59	57.9

10 Table 14. Post-Centrifugation Motility

	Total	Prog.	Stim.	T Stim.,Prog
5	56.1	55.6	56.3	55.2
10	58	56.3	56.4	54.4
15	56.3	56.1	53.6	52.2
20	53.6	52.8	56.4	55.6
25	49.4	48.5	52.7	51.9

Table 15. Post-Staining Motility

	Total	Prog.	Stim., T Stim.,	Prog
5	48.8	48.6	49.1	49.1
10	47.5	47.2	52	51.9
15	44.5	44.5	51.4	51.3
20	42.2	42.2	48.1	47.5
25	34.6	34	42.7	42.7

Table 16. Post-High Dilution Motility

	Total	Prog.	Stim.,	T Stim.,Prog
5	45	45	49.5	49.5
10	44.7	44.1	49.5	48.9
15	44.8	44.8	51.1	51.1
20	41.4	40.5	46.9	46.9
25	35.4	36.9	44.4	43.3

5 Table 17. Percent Dead and Resolution

	% Dead	Resolution
5	27.9	6.9
10	26.4	6.8
15	23.1	6.7
20	23.3	6.6
25	31.4	7.2

Table 18. KMT vs mod, Post-ship motility

	KMT	mod
5	62.8	62.2
10	61.9	62.2
15	63.4	65.9
20	57.8	60.6
25	56.3	55.4

Table 19. KMT vs mod, High Dilution motility

	KMT	mod
5	42.8	47.2
10	44.4	45
15	43.1	46.6
20	40	42.8
25	31.7	39.2

Table 20. KMT vs KMT-mod, Percent dead

	KMT	mod
5	27.5	28.4
10	26.4	26.5
15	23.3	23
20	23	23.6
25	36.8	26

Now referring primarily to Figure 6, total motility and progressive motility of sperm cells shows results of using modified KMT prepared using  $\text{NaH}_2\text{PO}_4$ .

5

Now referring primarily to Figure 7 it can be understood that total motility and progressive motility of sperm cells after process steps such as staining for flow sorting and steps in which sperm cells are diluted may not be increased using modified KMT prepared using  $\text{NaH}_2\text{PO}_4$  whether or not the sperm cells are exposed to stimulant such as caffeine.

10

Now referring primarily to Figure 8 it can be understood that temperature can be adjusted for storing, handling, transferring, or transportation of sperm cells obtained from a male of a species of mammal to increase total and progressive motility. With respect to some sperm cells from certain species of mammals, storing, handling, transferring, or transportation at about  $15^\circ\text{C}$  can maintain highest levels of total or progressive motility of sperm cells or stimulated sperm cells.

15

Now referring to Figure 9, it can be understood that the temperature at which sperm cells are transferred, stored, or handled prior to a staining protocol, such as described above, can be adjusted to increase total or progressive motility of sperm cells, or stimulated sperm cells, or sperm cells stimulated with caffeine. With respect to certain embodiments of the invention, including those in which equine sperm cells are processed, storage, transfer, or transport temperatures of between about  $5^\circ\text{C}$  to about  $20^\circ\text{C}$  can increase total and progressive motility of sperm cells. Moreover, with respect to stimulated sperm cells processed in accordance with the invention, including those embodiments of the invention in which equine sperm cells are stimulated with caffeine, handling, storage, or transfer temperatures between  $5^\circ\text{C}$  to about  $20^\circ\text{C}$  can also increase total and progressive motility. Specifically, embodiments of the invention used to process stimulated equine sperm cells comprise temperatures between about  $10^\circ\text{C}$  to about  $15^\circ\text{C}$  for handling, storing, or transferring of stimulated equine sperm cells.

25

30

Now referring to Figure 10, it can be understood that the temperature at which sperm cells are transferred, stored, or handled prior to a staining protocol, such as described above, can be adjusted to increase total or progressive motility of sperm cells, or stimulated sperm cells, or sperm cells stimulated with caffeine subsequent to a staining protocol. With respect to certain embodiments of the invention, including those in which equine sperm cells are processed, storage, transfer, or transport temperatures of between about 5°C to about 20°C can increase total and progressive motility of sperm cells subsequent to staining protocols. Moreover, with respect to stimulated sperm cells processed in accordance with the invention, including those embodiments of the invention in which include equine sperm cells stimulated with caffeine, handling, storage, or transfer temperatures between 5°C to about 20°C can also increase total and progressive motility. Specifically, embodiments of the invention used to process stimulated equine sperm cells comprise temperatures between about 10°C to about 15°C for handling, storing, or transferring of stimulated equine sperm cells.

Now referring to Figure 11, it can be understood that % dead of sperm cells after staining as described above can be reduced by storing or transporting sperm cells at 15°C.

#### 20 EXAMPLE 7.

Ejaculates from twelve stallions were initially evaluated for volume and sperm cell concentration and motility. The remaining portion of each ejaculate was extended in KMT to  $25 \times 10^6$  sperm/mL and stored at 15°C for 18 hr. Post storage motility was evaluated using 100  $\mu$ L aliquots of treated sperm cells were then diluted with 100  $\mu$ L KMT, 4mM caffeine. The remaining portion of the treated sperm cells in each sample were centrifuged at 600g for 10 min. the supernatant aspirated to about 1.50 mL and the pelleted sperm cells resuspended in that volume. Treated sperm cells were extended to  $400 \times 10^6$  sperm/mL and aliquots transferred to a staining tube for treatment as follows:

30

1.  $200 \times 10^6$  sperm/mL, 8.68  $\mu$ L Hoechst 7.1 pH, incubated at 34°C for 60min
2.  $200 \times 10^6$  sperm/mL, 10.54  $\mu$ L Hoechst 7.1 pH, incubated at 34°C for 60min
3.  $200 \times 10^6$  sperm/mL, 12.44  $\mu$ L Hoechst 7.1 pH, incubated at 34°C for 60min
4.  $200 \times 10^6$  sperm/mL, 8.68  $\mu$ L Hoechst 7.1 pH, incubated at 34°C for 30min

5. 200 x10<sup>6</sup> sperm/mL, 10.54 µl Hoechst 7.1 pH, incubated at 34°C for 30min
6. 200 x10<sup>6</sup> sperm/mL, 12.44 µl Hoechst 7.1 pH, incubated at 34°C for 30min
7. 100 x10<sup>6</sup> sperm/mL, 4.34 µl Hoechst 7.1 pH, incubated at 34°C for 60min
8. 100 x10<sup>6</sup> sperm/mL, 5.27 µl Hoechst 7.1 pH, incubated at 34°C for 60min
- 5 9. 100 x10<sup>6</sup> sperm/mL, 6.22 µl Hoechst 7.1 pH, incubated at 34°C for 60min
10. 100 x10<sup>6</sup> sperm/mL, 4.34 µl Hoechst 7.1 pH, incubated at 34°C for 30min
11. 100 x10<sup>6</sup> sperm/mL, 5.27 µl Hoechst 7.1 pH, incubated at 34°C for 30min
12. 100 x10<sup>6</sup> sperm/mL, 6.22 µl Hoechst 7.1 pH, incubated at 34°C for 30min

10 Each stained sperm sample was diluted to 75 x10<sup>6</sup> sperm/mL with KMT, 0.75 µl/mL 5% red food dye. High dilution samples were then prepared by addition of 3mL KMT and 22mL 5mM HBGM-3 into 234µl stained sperm cell sample (at 75 x10<sup>6</sup> sperm/mL). Each stained sperm cell sample was then evaluated for % dead and resolution by flow cytometry analysis, and motility was evaluated in KMT and KMT, 2mM caffeine.

15

High dilution samples were then prepared by addition of 3mL KMT and 22mL 5mM HBGM-3 into 234µl stained sperm cell sample (at 75 x10<sup>6</sup> sperm/mL) and incubated at RT for about 1.5 hr. High dilution sperm cell samples were then evaluated for motility in KMT and in KMT, 4mM caffeine

20

Now referring primarily to Figure 12, it can be understood that sperm cells remain more viable when sperm cell concentration during staining is at about 100 M/mL versus 200M/mL without loss of resolution.

25

Certain embodiments of the sperm cell process system invention can further include the step of diluting sperm cells obtained from a male of a species of mammal to between about 75 M/mL and 200 M/mL to obtain a concentration of sperm cells that reduces, minimizes, or in which % dead in the sample after staining does not decrease with further increase in dilution of the sperm cells. Specifically, with respect to some

30 embodiments of the invention the concentration of sperm cells can be less than 200M/mL and with respect to equine sperm cells can be about 100M/mL to reduce the number of % dead as evaluated by flow cytometry subsequent to the above described staining procedure.

Now referring primarily to Figure 13, it can be understood that as stain concentration increases fewer sperm cells survive and resolution increases.

Now referring primarily to Figure 14, it can be understood that stain time can be  
5 substantially decreased without loss of resolution between X-chromosome bearing populations and Y-chromosome bearing populations of sperm cells evaluated by flow cytometry.

As such, embodiments of the invention can further include the step of decreasing  
10 the stain concentration used in the stain protocol described above until the % dead in the stained sperm cell samples does not substantially decrease further, and can further include the step of decreasing the stain concentration used until the resolution of X-chromosome bearing sperm cell from Y-chromosome bearing flow cells yields a sorted sperm cell sample of less than 60% purity; or less than the % purity necessary or desired, such as  
15 60%, 65%, 70%, 75%, 80%, 85%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, or 98%; or less than that which can be achieved for sperm cells from that species of male mammal; or not less than flow sorting one of or both of X-chromosome bearing or the Y-chromosome bearing from one another at a sort rate of not less than between about 500 sorts/sec to about 1000 sorts/second, between about 750 sorts/sec to about 1250  
20 sorts/second, between about 1000 sorts/sec to about 1500 sorts/sec; between about 1250 sorts/sec to about 1750 sorts/sec; between about 1500 sorts/sec to about 2000 sorts/sec; between about 1750 sorts/sec to about 2250 sorts/sec; between about 2000 sorts/sec to about 2500 sorts/sec; between about 2250 sorts/sec to about 2750 sorts/sec; between about 2500 sorts/sec to about 3000 sorts/sec; between about 2750 sorts/sec to about 3250  
25 sorts/sec; between about 3000 sorts/sec to about 3500 sorts/sec; between about 3250 sorts/sec to about 3750 sorts/sec; between about 3500 sorts/sec to about 4000 sorts/sec; between about 3750 sorts/sec to about 4250 sorts/sec; between about 4000 sorts/sec to about 4500 sorts/sec; between about 4250 sorts/sec to about 4750 sorts/sec; between about 4500 sorts/sec to about 5000 sorts/sec.

30

#### EXAMPLE 8. INSEMINATION OF COW ELK WITH SEXED FROZEN SEMEN

Cow elk 3-6-yr of age in Colorado and Minnesota were synchronized for estrus in September by insertion of a progesterone CIDR into the vagina for 12-14 d. Upon  
35 removal of the CIDR, 200 IU of eCG was administered intramuscular and elk were timed-

inseminated 60 h later. Fresh semen was collected via electro-ejaculation from a 5-yr old bull elk and slowly cooled over 4 h to about 20°C for transportation as a neat ejaculate to the sperm-sorting laboratory. The ejaculate was concentrated to  $1 \times 10^9$  sperm/ml for straining by centrifuging 1.5 ml aliquots for 10 sec at 15,000 x g. Semen was incubated in  
5 112  $\mu$ M Hoechst 33342 at  $200 \times 10^6$  sperm/ml in a TALP medium for 45 min at 34°C, and then diluted to  $100 \times 10^6$ /ml for sorting. Sperm were sorted on the basis of differing DNA content of X and Y chromosome-bearing sperm. X chromosome-bearing elk sperm contained 3.8% more DNA than Y chromosome-bearing sperm. Sperm were flow-sorted over a 4 h period using MoFlo®SX operating at 50 psi with a TRIS-based sheath fluid.  
10 The 351 and 364 bands of an argon laser, emitting 150 mW, excited Hoechst 33342 dye bound to DNA. Both X and Y chromosome-bearing sperm were collected (~92% purity as verified by reanalyzing sonicated sperm aliquots for DNA) were collected at ~4,700 sperm/sec into tubes containing 2 ml of 20% egg yolk-TRIS extender. Sorted volumes of 15 ml were sequentially collected. Approximately  $110 \times 10^6$  sperm of each sex were  
15 sorted and cooled to 5 °C over 90 min. An equal volume of glycerol (12%) containing extender was added to the sorted volume at 5 °C. Sorted sperm aliquots containing 30-ml were concentrated by centrifugation at 4 °C for 20 min at 850 x g. Sperm pellets were pooled, adjusted to  $21.7 \times 10^6$  sperm/ml and loaded into 0.25-ml straws. Each straw, containing  $5 \times 10^6$  total sperm, was frozen in liquid nitrogen vapor. As a control,  $5 \times 10^6$   
20 total sperm from the same ejaculate were frozen in 0.25 ml straws at the same time as the sexed sperm. After thawing for 30 sec at 37 °C, 65% and 60% of sperm (control and sexed, respectively) were progressively motile as determined by visual estimates. Cows at 3 different locations and management schemes were inseminated using routine trans-cervical semen deposition in the uterine body. Pregnancy was determined 40-d post  
25 insemination by assaying blood for Pregnancy-Specific Protein B (Bio Tracking, Moscow, Idaho). Ten cows at one location were in poor condition at the time of insemination and no pregnancies were achieved with sexed or control sperm. The pregnancy rate at the other locations with sexed sperm (61%; 11/18) was similar to that for control inseminates (50%; 3/6). These pregnancy rates (sexed and controls) resulted from fewer sperm than  
30 are used in normal elk artificial insemination. Nine of eleven (82%) of sexed calves were of the predicted sex.

The invention can further include a mammal produced in accordance with any of the above described embodiments of the invention, or can include a mammal of  
35 predetermined sex in accordance with the various embodiments of the invention that

provide sperm cell insemination samples having an enriched population of either X-chromosome bearing sperm cells or enriched population of Y-chromosome bearing sperm cells, or a mammal produced in accordance with any embodiment of the invention in which a sperm cell insemination sample containing a low number of sperm cells compared  
5 to the typical number used to inseminate that particular species of mammal is used, or elk progeny produced in accordance with the invention as described above.

As can be easily understood from the foregoing, the basic concepts of the present invention may be embodied in a variety of ways. It involves both a sperm cell process  
10 system including both techniques as well as devices to accomplish sperm cell processing. In this application, various sperm cell processing techniques are disclosed as part of the results shown to be achieved by the various devices described and as steps which are inherent to utilization. They are simply the natural result of utilizing the devices as intended and described. In addition, while some devices are disclosed, it should be  
15 understood that these not only accomplish certain methods but also can be varied in a number of ways. Importantly, as to all of the foregoing, all of these facets should be understood to be encompassed by this disclosure.

The discussion included in this nonprovisional application is intended to serve as a  
20 basic description. The reader should be aware that the specific discussion may not explicitly describe all embodiments possible; many alternatives are implicit. It also may not fully explain the generic nature of the invention and may not explicitly show how each feature or element can actually be representative of a broader function or of a great variety of alternative or equivalent elements. Again, these are implicitly included in this  
25 disclosure. Where the invention is described in device-oriented terminology, each element of the device implicitly performs a function. Apparatus claims may not only be included for the device described, but also method or process claims may be included to address the functions the invention and each element performs. Neither the description nor the terminology is intended to limit the scope of the claims

30

Further, each of the various elements of the invention and claims may also be achieved in a variety of manners. This disclosure should be understood to encompass each such variation, be it a variation of an embodiment of any apparatus embodiment, a method or process embodiment, or even merely a variation of any element of these. Particularly, it  
35 should be understood that as the disclosure relates to elements of the invention, the words for each element may be expressed by equivalent apparatus terms or method terms -- even



if only the function or result is the same. Such equivalent, broader, or even more generic terms should be considered to be encompassed in the description of each element or action. Such terms can be substituted where desired to make explicit the implicitly broad coverage to which this invention is entitled. As but one example, it should be understood  
5 that all actions may be expressed as a means for taking that action or as an element which causes that action. Similarly, each physical element disclosed should be understood to encompass a disclosure of the action which that physical element facilitates. Regarding this last aspect, as but one example, the disclosure of a "flow-sorter" should be understood to encompass disclosure of the act of "flow-sorting" -- whether explicitly discussed or not  
10 -- and, conversely, were there effectively disclosure of the act of "flow-sorting", such a disclosure should be understood to encompass disclosure of a "flow-sorter" and even a "means for flow-sorting" Such changes and alternative terms are to be understood to be explicitly included in the description.

15 Any acts of law, statutes, regulations, or rules mentioned in this application for patent; or patents, publications, or other references mentioned in this application for patent are hereby incorporated by reference. In addition, as to each term used it should be understood that unless its utilization in this application is inconsistent with such interpretation, common dictionary definitions should be understood as incorporated for  
20 each term and all definitions, alternative terms, and synonyms such as contained in the Random House Webster's Unabridged Dictionary, second edition are hereby incorporated by reference.

Thus, the applicant(s) should be understood to claim at least: i) each of the sperm  
25 cell processing devices as herein disclosed and described, ii) the related methods disclosed and described, iii) similar, equivalent, and even implicit variations of each of these devices and methods, iv) those alternative designs which accomplish each of the functions shown as are disclosed and described, v) those alternative designs and methods which accomplish each of the functions shown as are implicit to accomplish that which is disclosed and  
30 described, vi) each feature, component, and step shown as separate and independent inventions, vii) the applications enhanced by the various systems or components disclosed, viii) the resulting products produced by such systems or components, and ix) methods and apparatuses substantially as described hereinbefore and with reference to any of the accompanying examples, x) the various combinations and permutations of each of the  
35 elements disclosed, and xi) each potentially dependent claim or concept as a dependency

on each and every one of the independent claims or concepts presented. In this regard it should be understood that for practical reasons and so as to avoid adding potentially hundreds of claims, the applicant may eventually present claims with initial dependencies only. Support should be understood to exist to the degree required under new matter laws

5 -- including but not limited to European Patent Convention Article 123(2) and United States Patent Law 35 USC 132 or other such laws-- to permit the addition of any of the various dependencies or other elements presented under one independent claim or concept as dependencies or elements under any other independent claim or concept. Further, if or when used, the use of the transitional phrase "comprising" is used to maintain the "open-

10 end" claims herein, according to traditional claim interpretation. Thus, unless the context requires otherwise, it should be understood that the term "comprise" or variations such as "comprises" or "comprising", are intended to imply the inclusion of a stated element or step or group of elements or steps but not the exclusion of any other element or step or group of elements or steps. Such terms should be interpreted in their most expansive form

15 so as to afford the applicant the broadest coverage legally permissible.

## VI. CLAIMS

We claim:

- 5 1. A method of separating sperm cells, comprising:
  - a. obtaining semen from a male of a species of mammal which contains a plurality of sperm cells;
  - b. incubating said semen at a temperature above which sperm cell membrane lipids transition from a liquid phase to gel phase;
  - 10 c. determining a sperm cell characteristic of a plurality of said sperm cells;
  - d. separating said sperm cells based upon said sperm cell characteristic; and
  - e. collecting separated sperm cells.
2. A method of separating sperm cells as described in claim 1, wherein said  
15 temperature above which sperm cell membrane lipids transition from a liquid phase to gel phase comprises the step of incubating said semen at a temperature above which sperm cell membrane lipids transition to a gel phase.
3. A method of separating sperm cells as described in claim 1, wherein said  
20 temperature above which sperm cell membrane lipids transition from a liquid phase to gel phase comprises the step of incubating said semen at a temperature which maintains said sperm cell membrane lipids in said liquid phase.
4. A method of separating sperm cells as described in claim 1, wherein said step of  
25 incubating said semen at a temperature above which sperm cell membrane lipids transition from a liquid phase to gel phase temperature comprises incubating said semen at a temperature between about 5° C and about 25° C.
5. A method of separating sperm cells as described in claim 1, wherein said  
30 temperature is selected from the group consisting of about 5 ° C, about 6° C, about 7° C, about 8° C, about 9° C, about 10° C, about 11° C, about 12° C, about 13° C, about 14° C, about 15° C, about 16° C, about 17° C, about 18° C, about 19° C, about 20° C, about 21° C, about 22° C, about 23° C, about 24° C, and about 25° C.

6. A method of separating sperm cells as described in claim 1, wherein said species of mammal is selected from the group consisting of a bovine species of mammal, an equine species of mammal, an ovine species of mammal, a swine species of mammal, a canine species of mammal, a feline species of mammal, a deer species of mammal, an elk species of mammal, and a marine species of mammal.
7. A method of separating sperm cells as described in claim 1, wherein said species of mammal comprises a bovine species and wherein said step of incubating said semen at a temperature above which sperm cell membrane lipids transition from a liquid phase to gel phase temperature comprises incubating said semen at a temperature between about 17° C and about 19° C.
8. A method of separating sperm cells as described in claim 1, wherein said species of mammal comprises a bovine species and wherein said step of incubating said semen at a temperature above which sperm cell membrane lipids transition from a liquid phase to gel phase temperature comprises incubating said semen at a temperature of about 17° C.
9. A method of separating sperm cells as described in claim 1, wherein said species of mammal comprises an equine species and wherein said step of incubating said semen at a temperature above which sperm cell membrane lipids transition from a liquid phase to gel phase temperature comprises incubating said semen at a temperature of about 15° C.
10. A method of separating sperm cells as described in claim 1, wherein said step of incubating said semen at a temperature above which sperm cell membrane lipids transition from liquid phase to gel phase comprises incubating said semen at said temperature above which sperm cell membrane lipids transition from liquid phase to gel phase between about one hour to about 18 hours.
11. A method of separating sperm cells as described in claim 1, further comprising the step of transporting said semen from a first location to a second location during said step of incubating said semen at a temperature above which sperm cell membrane lipids transition from a liquid phase to gel phase.
12. A method of separating sperm cells as described in claim 1, further comprising the step of adding an antibacterial to said semen prior to said step of incubating said semen at

a temperature above which sperm cell membrane lipids transition from a liquid phase to gel phase.

13. A method of separating sperm cells as described in claim 1, wherein said step of  
5 determining a sperm cell characteristic of a plurality of sperm cells within said semen comprises determining a sex characteristic of said sperm cells.

14. A method of separating sperm cells as described in claim 1, wherein said step of  
separating said sperm cells based upon said sperm cell characteristic comprises separating  
10 said sperm cells based upon said sex characteristic.

15. A method of separating sperm cells as described in claim 1, further comprising the  
step of extending semen with an extender selected from the group consisting of KMT, and  
INRA96.

15

16. A method of separating sperm cells as described in claim 1, further comprising the  
step of concentrating said sperm cells by removing a portion of seminal plasma.

17. A method of separating sperm cells as described in claim 1, further comprising the  
20 step of staining said sperm cells.

18. A method of separating sperm cells as described in claim 17, wherein said step of  
staining said sperm cells comprises staining DNA contained within said sperm cells.

25 19. A method of separating sperm cells as described in claim 18, wherein said step of  
staining DNA contained within said sperm cells comprises staining said DNA within said  
sperm cells with Hoechst 33342 stain.

20. A method of separating sperm cells as described in claim 19, wherein said step of  
30 staining said DNA within said sperm cells with Hoechst 33342 stain comprises incubating  
said sperm cells with Hoechst 33342 for a period of between about 30 minutes and about  
1 hour.

21. A method of separating sperm cells as described in claim 1, wherein said step of  
35 separating said sperm cells based upon said sperm cell characteristic comprises separating

said sperm cells using an instrument selected from the group consisting of a flow cytometer, and a cell sorter.

22. An artificial insemination sample, comprising:
- 5       a. an artificial insemination device;
- b. a sperm cell extender; and
- c. semen obtained from a male of a species of mammal containing a plurality of sperm cells incubated at a temperature above which sperm cell membrane lipids transition from a liquid phase to a gel phase for a period
- 10       of between one hour and 18 hours, and wherein said plurality of sperm cells are separated on the basis of a sex characteristic, and wherein a portion of said separated sperm cells are established in said sperm cell extender, and wherein said portion of said separated sperm cells established in said sperm cell extender are contained within said artificial insemination device.
- 15
23. An artificial insemination sample as described in claim 22, wherein said sperm cell extender comprises an egg yolk extender.
24. An artificial insemination sample as described in claim 22, wherein said sperm cell
- 20       extender comprises an egg yolk-TRIS extender.
25. An artificial insemination sample as described in claim 22, wherein said sperm cell extender comprises substantially equal volumes of said egg yolk-TRIS extender and egg yolk-TRIS extender/12% glycerol.
- 25
26. An artificial insemination sample as described in claims 23, 24, or 25, wherein said sperm cell extender has a volume of between about 0.1 millilitre and about 2.0 millilitre.
27. An artificial insemination sample as described in claim 22, wherein said artificial
- 30       insemination device comprises an artificial insemination straw.
28. An artificial insemination sample as described in claim 22, wherein said portion of said separated sperm cells established in said sperm cell extender comprise between about one hundred thousand separated sperm cells and about 25 million separated sperm cells.
- 35

29. An artificial insemination sample as described in claim 28, wherein said portion of said separated sperm cells established in said sperm cell extender contained within said artificial insemination device is frozen.

5 30. An artificial insemination sample as described in claim 29, wherein said portion of said separated sperm cells frozen in said sperm cell extender contained within said artificial insemination device are thawed.

31. A method of producing an artificial insemination sample comprising the steps of:

10 a. obtaining semen from a male of a species of mammal which contains a plurality of sperm cells;

b. incubating said semen at a temperature above which sperm cell membrane lipids transition from a liquid phase to a gel phase;

c. determining a sex characteristic of said plurality of sperm cells;

15 d. separating said plurality of sperm cells based upon said sex characteristic; and

e. establishing an artificial insemination sample containing separated sperm cells capable of fertilizing at least one egg within a female of said species of said mammal.

20

32. A method of fertilizing a mammal comprising the steps of:

a. obtaining semen from a male of a species of mammal which contains a plurality of sperm cells;

b. incubating said semen at a temperature above which sperm cell membrane

25 lipids transition to a gel phase;

c. determining a sex characteristic of said plurality of sperm cells;

d. separating said plurality of sperm cells based upon said sex characteristic;

e. establishing an artificial insemination sample containing separated sperm cells capable of fertilizing at least one egg within a female of said species

30 of said mammal;

f. inserting a portion of said insemination sample into a female of said species of said mammal; and

g. fertilizing at least one egg within said female of said species of said mammal.

35

33. A method of producing an offspring mammal comprising the steps of:
- a. obtaining semen from a male of a species of mammal which contains a plurality of sperm cells;
  - b. incubating said semen at a temperature above which sperm cell membrane lipids transition to a gel phase;
  - c. determining a sex characteristic of said plurality of sperm cells;
  - d. separating said plurality of sperm cells based upon said sex characteristic;
  - e. establishing an artificial insemination sample containing separated sperm cells capable of fertilizing at least one egg within a female of said species of said mammal;
  - f. inserting a portion of said insemination sample into a female of said species of said mammal;
  - g. fertilizing at least one egg within said female of said species of said mammal; and
  - h. producing an offspring mammal.



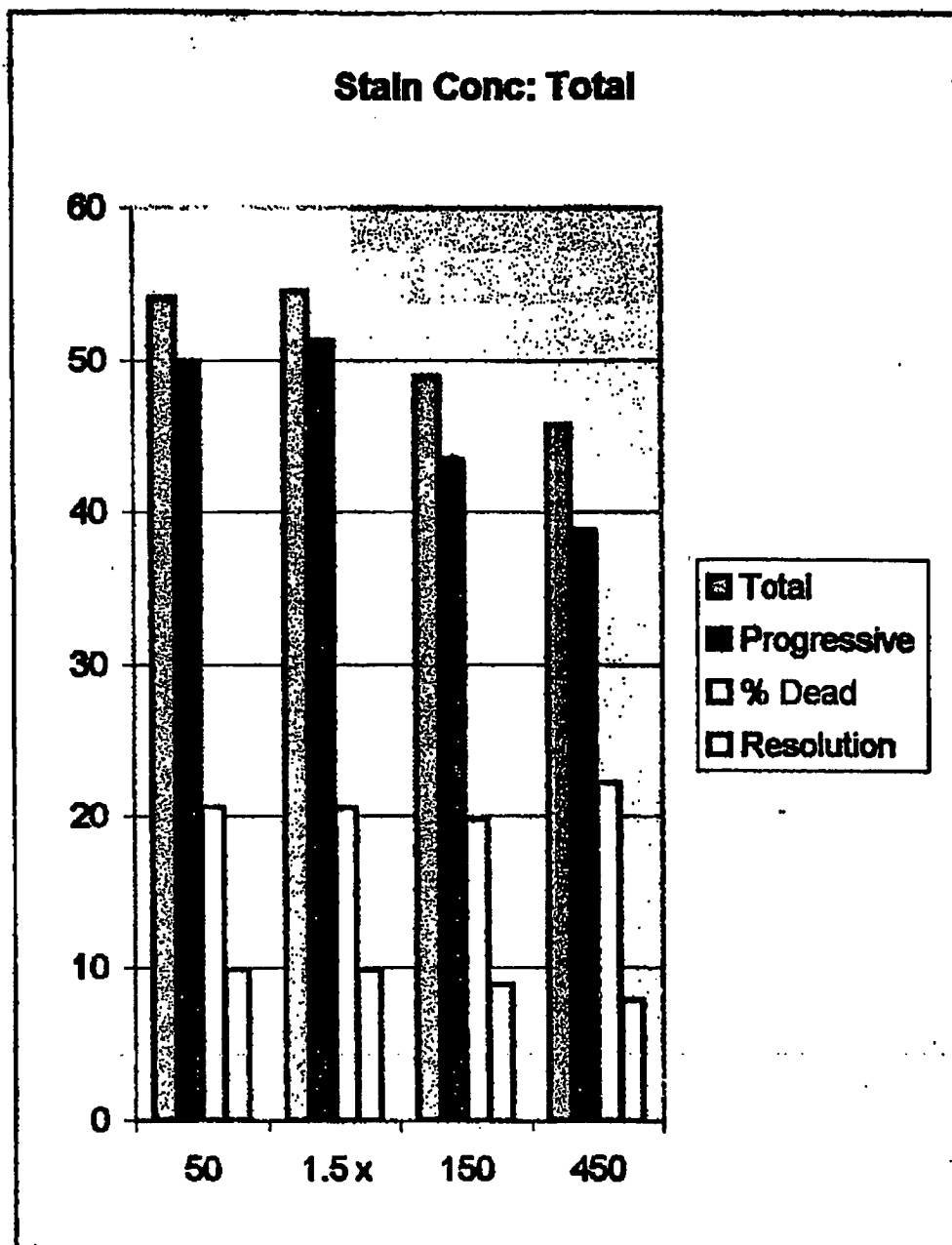


FIG. 1

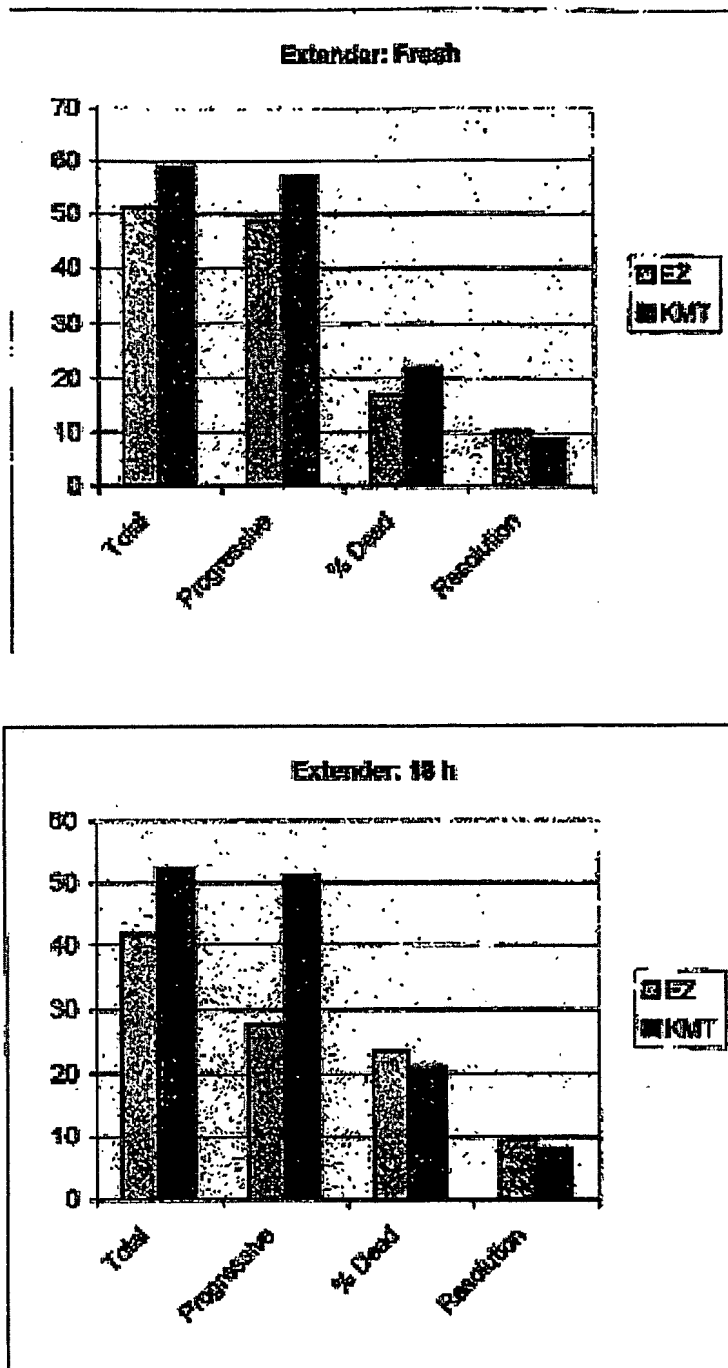
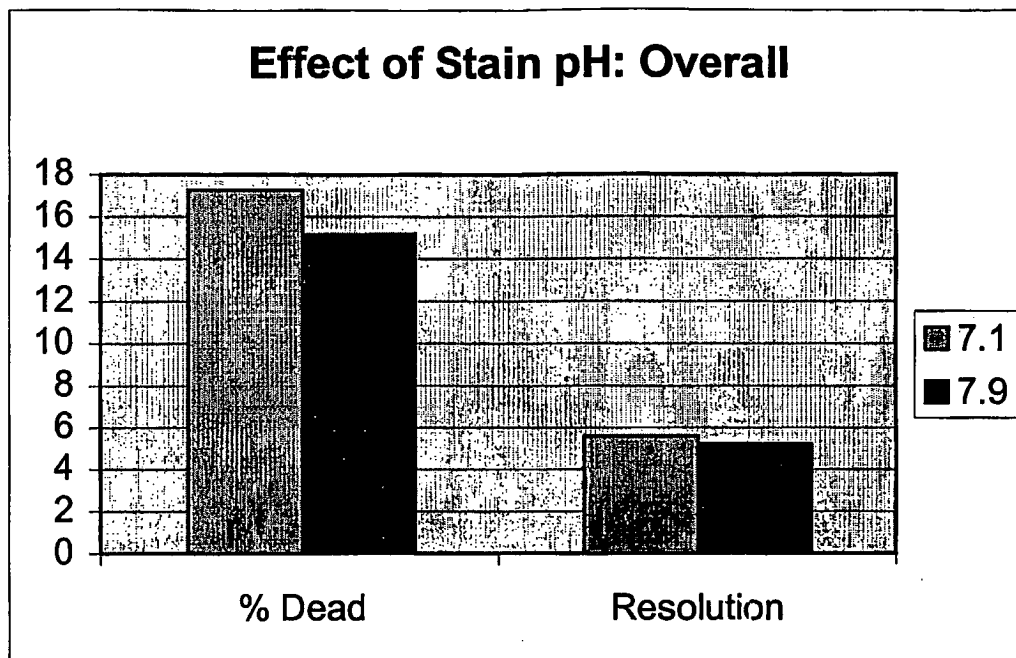
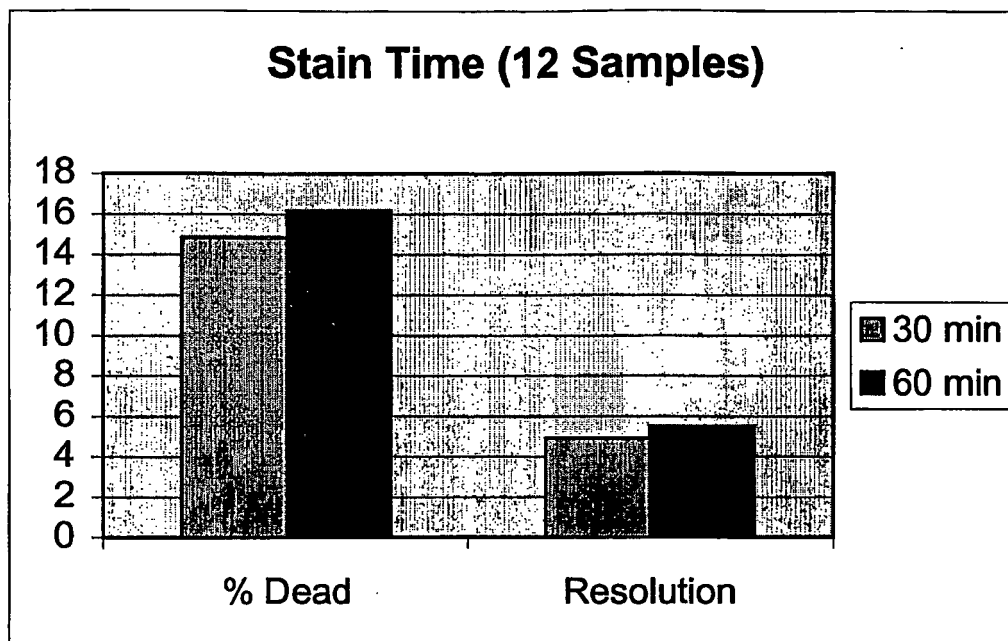
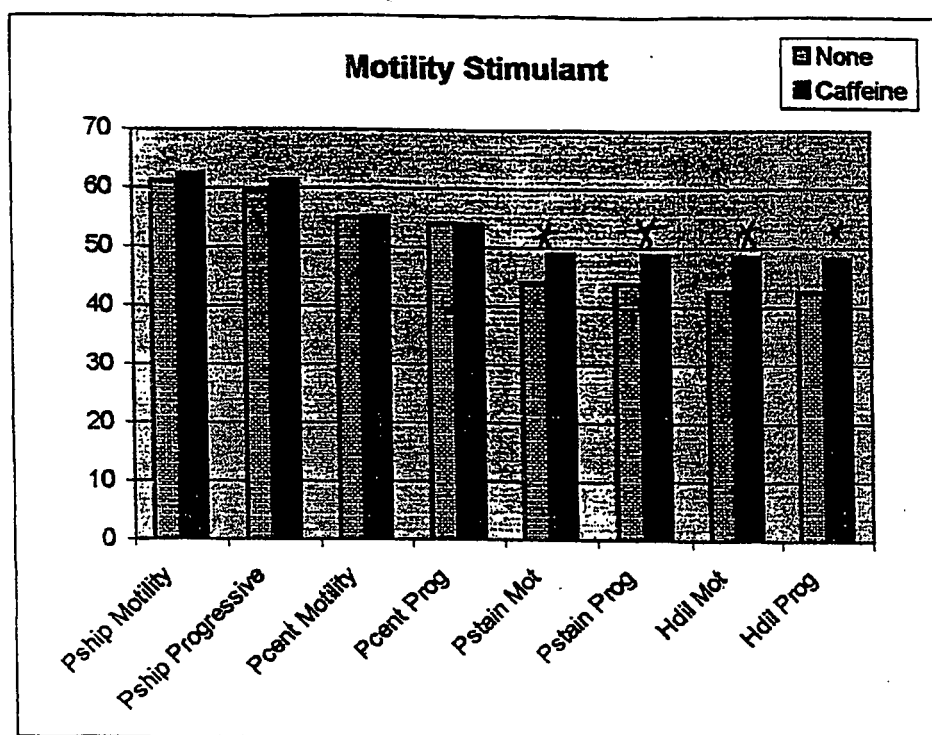


FIG. 2

**FIG. 3**

**FIG. 4**

5/14

**FIG. 5**

6/14

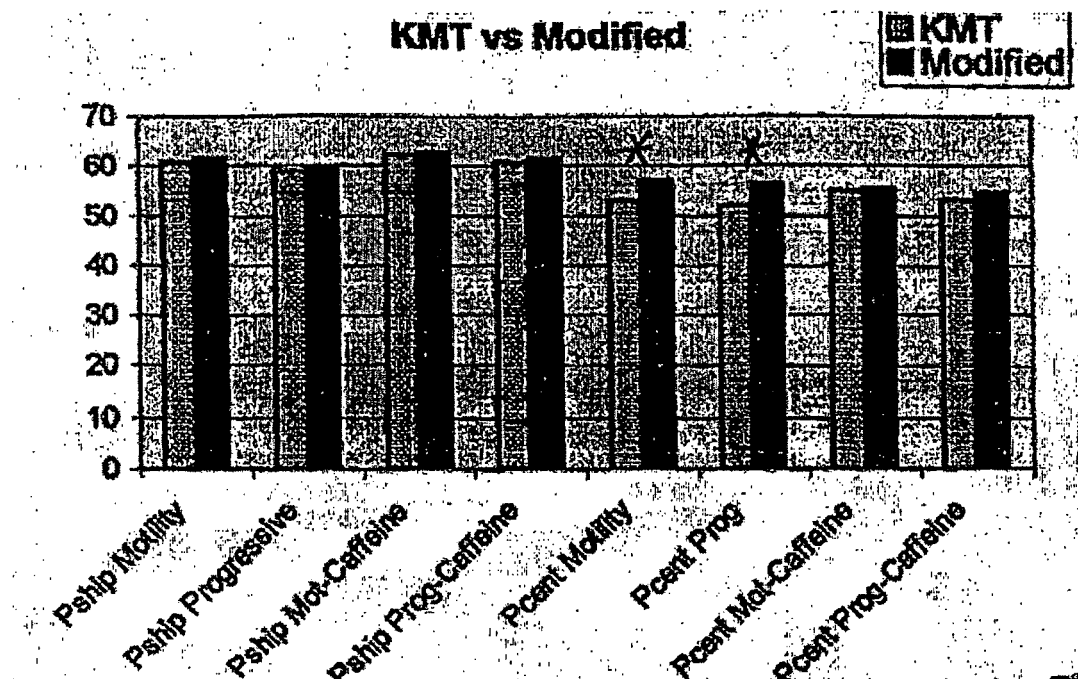


FIG. 6

7/14

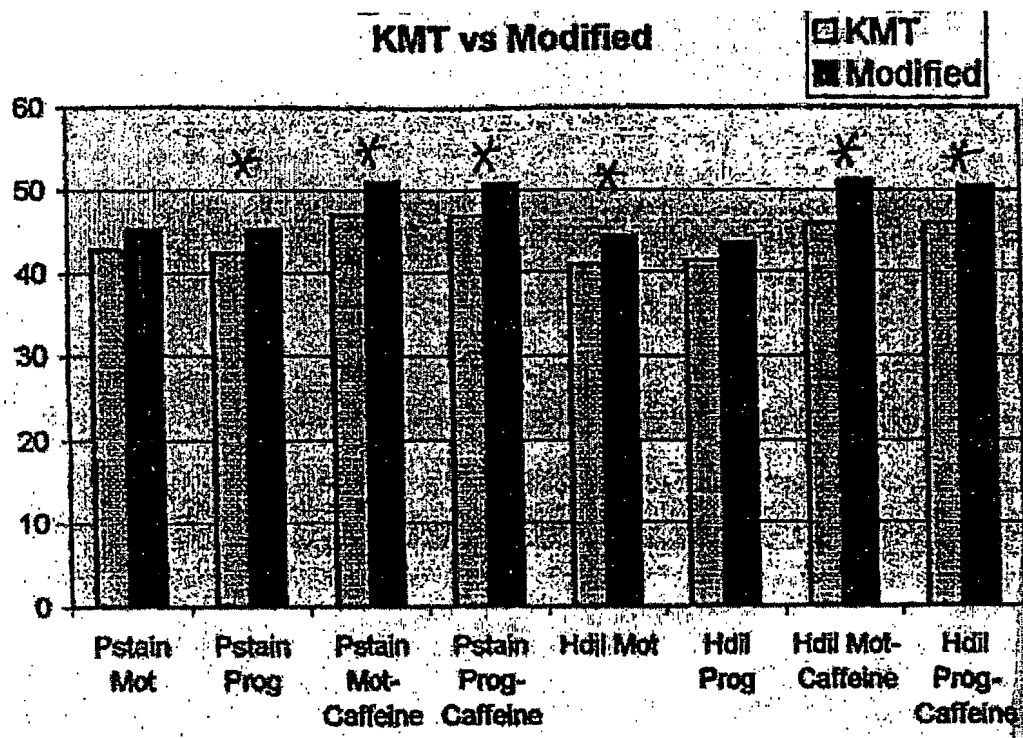


FIG. 7

8/14

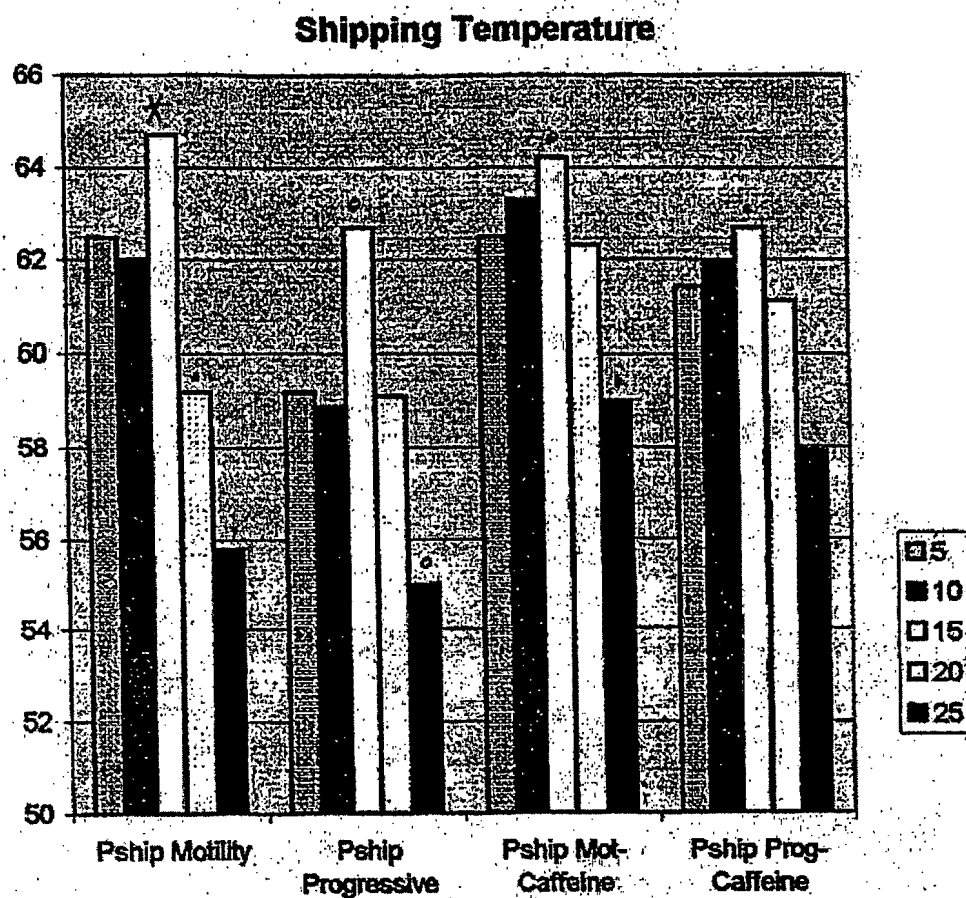


FIG. 8



9/14

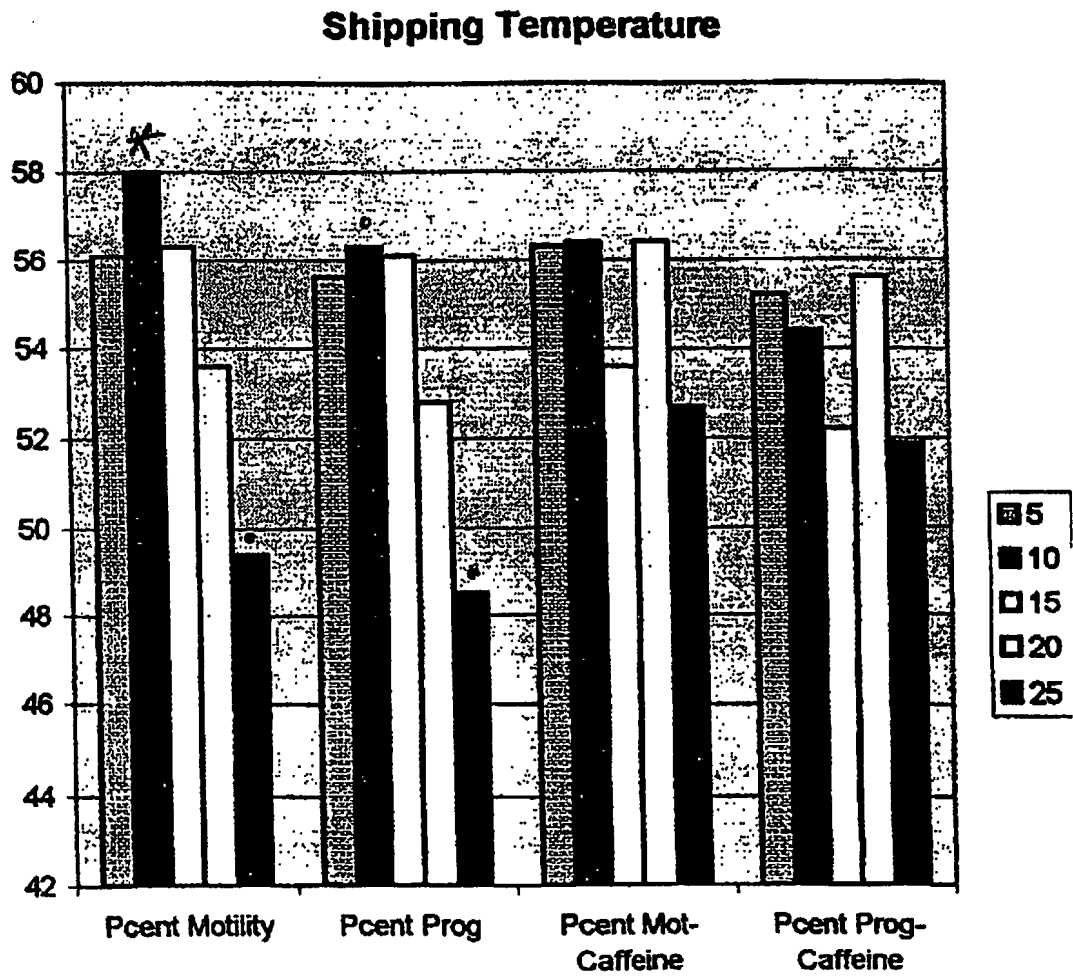


FIG. 9

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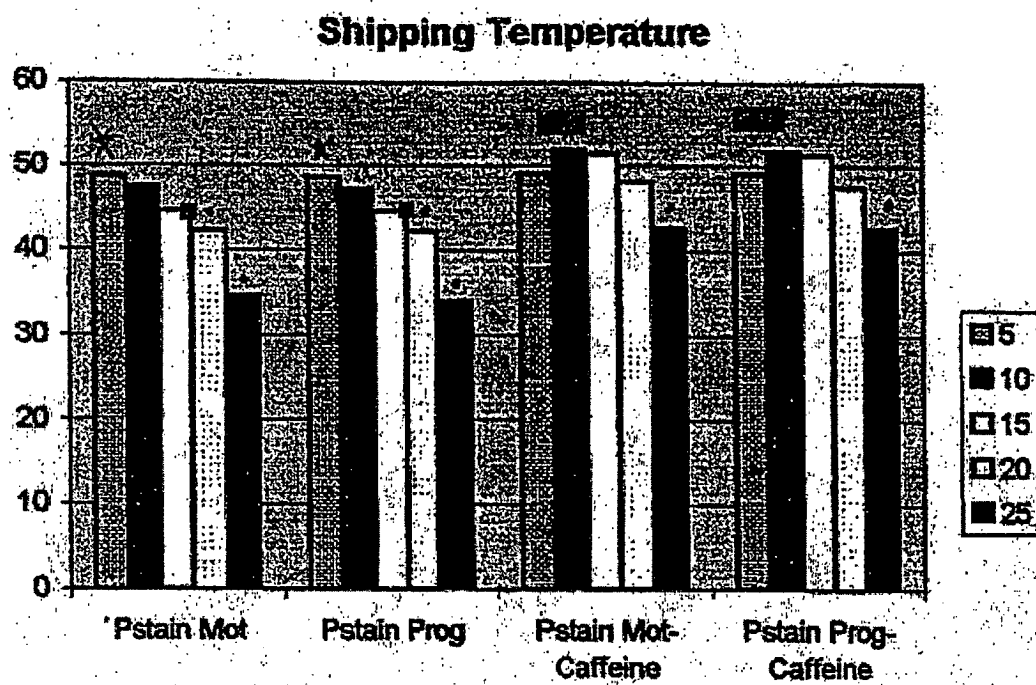


FIG. 10

11/14

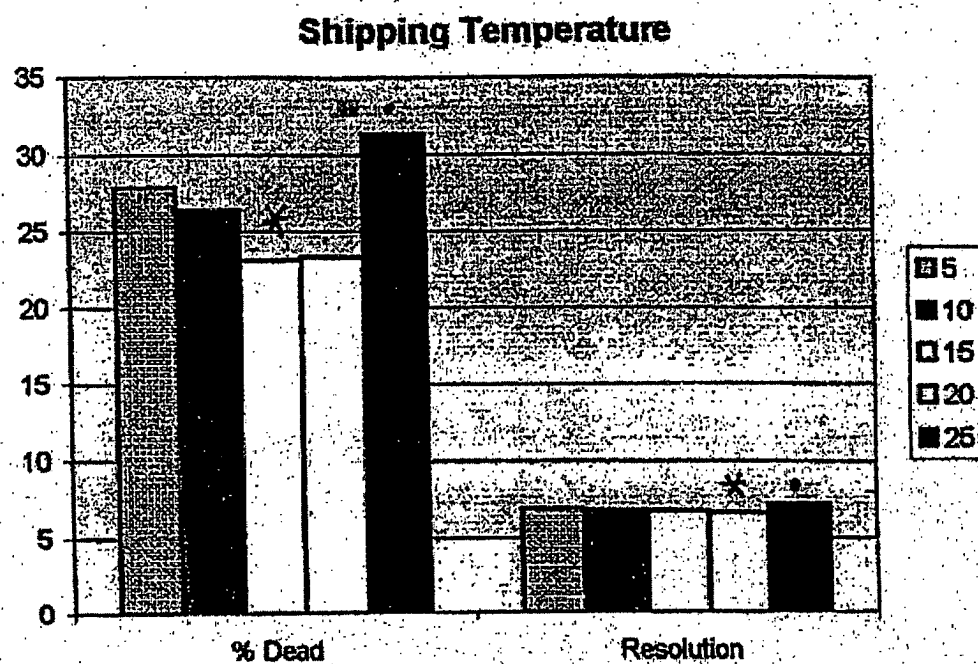
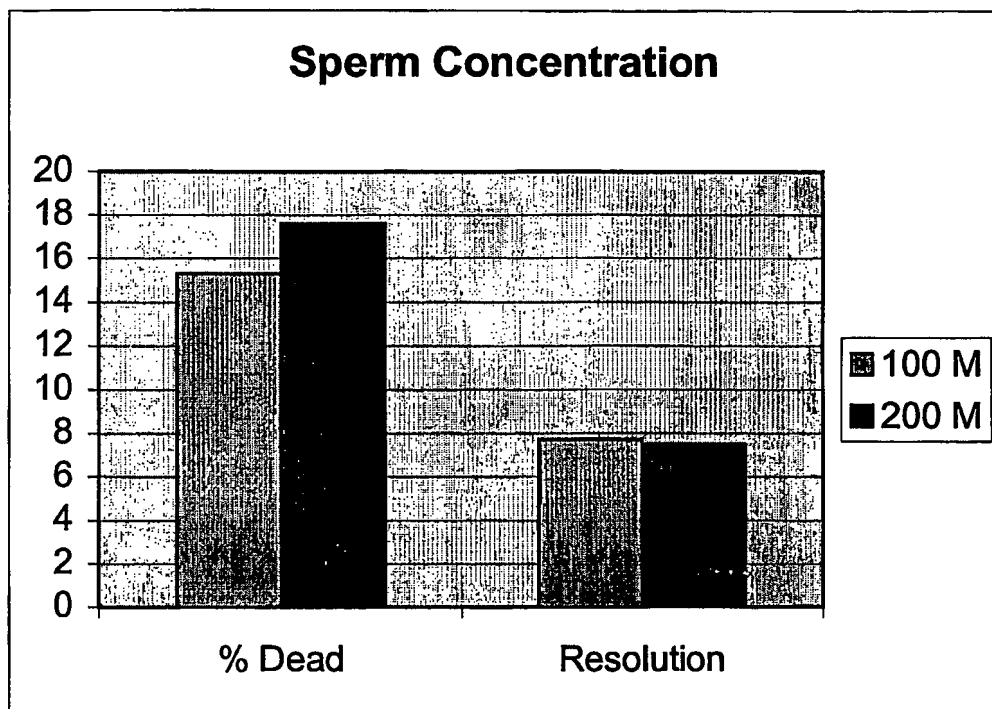


FIG. 11

12/14

**FIG. 12**

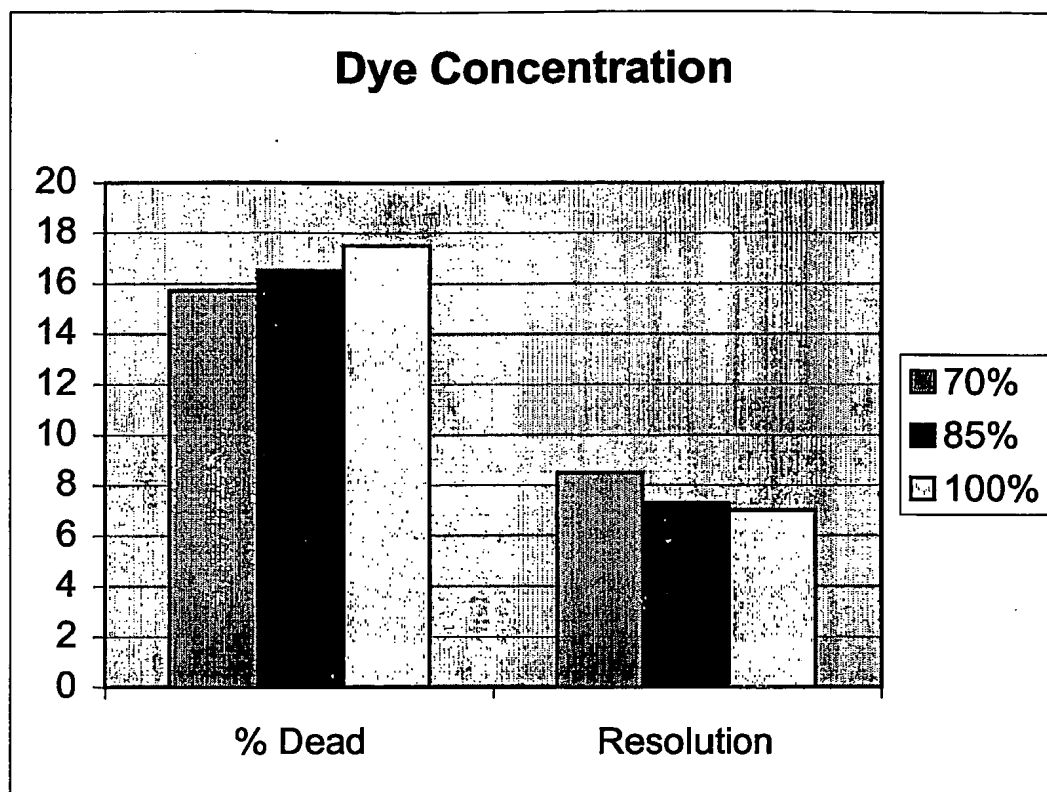
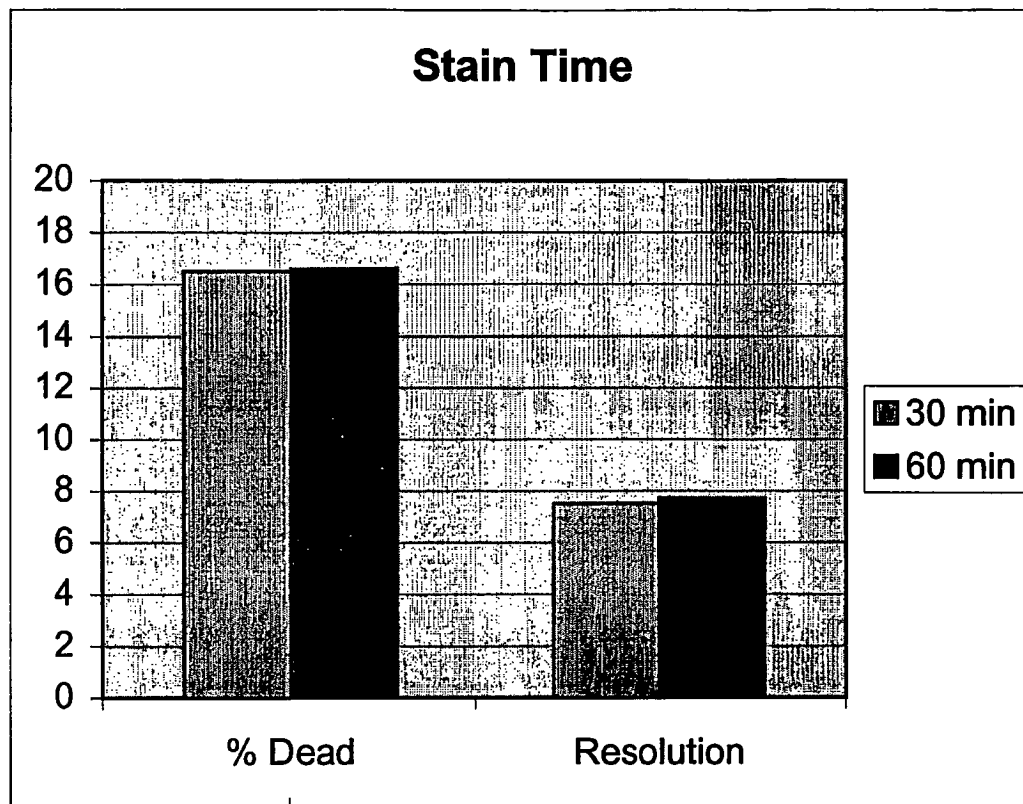


FIG. 13

**FIG. 14**

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(54) Title: SPERM CELL PROCESS SYSTEM

(57) Abstract: A semen or sperm cell process system to maintain or enhance the biological, chemical, physical, physiological, or functional attributes of sperm cells within the context of various collecting, handling, storage, transportation, separation, or insemination procedures.

Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,  
ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO,  
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ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ,  
TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ,  
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NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG,  
CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)
- as to the applicant's entitlement to claim the priority of the  
earlier application (Rule 4.17(iii)) for the following desig-  
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ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ,  
UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD,  
RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ,  
DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL,  
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# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/22906

## A. CLASSIFICATION OF SUBJECT MATTER

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Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
EAST

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6,395,305 B1 (BUHR et al) 28 May 2002 (28.05.2002), see entire document.	1-33



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